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PATENT AND TRADEMARK OFFICE

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APPLICANT
Vincent P. Annunziata

LIST OF PUBLICATIONS CITED BY APPLICANT
(Use several sheets if necessary)

FILING DATE
January 18, 2001

GROUP
3693

US PATENT DOCUMENTS

| EXAMINER INITIAL* | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|------|------|-------|----------|-------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |

OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|---|
| | AR | Lux, Hal, "Amex Specialists Send Pledge in Unusual Pitch to Brokers," The Investment Dealers' Digest: IID, Proquest, November 1993. |
| | | |
| | AS | |
| | | |
| | AT | |
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if publication considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.